



**OKLAHOMA
HUMANITIES**

**STATEMENT OF UNDERSTANDING AND CERTIFICATION
RESEARCH GRANTS FOR INDEPENDENT SCHOLARS**

STATEMENT OF UNDERSTANDING

The applicant understands and agrees to the following:

- 1) Any funds granted as a result of this application will be used in accordance with the plans and budget described in this request; any significant changes in activities, personnel, or budget will require prior approval by Oklahoma Humanities (OH.)
- 2) The applicant will abide by the policies of OH and the National Endowment for the Humanities.

CERTIFICATION

By signing and submitting this application, the applicant is providing the applicable certification regarding debarment and suspension, as set forth in the attached *Certification Instructions*.

RESEARCH SCHOLAR

Signature: _____

Name (Please print): _____

Address: _____

City, State, Zip: _____

Work Phone: _____ Fax: _____

E-Mail: _____

CERTIFICATION INSTRUCTIONS

The following reflect federal requirements for recipients of OH grant awards. The requirement of compliance with nondiscrimination statutes has applied to all OH grantees for some years. The requirements with respect to "debarment and suspension" are new.

Both requirements are now combined in the Certifications below. The Authorizing Official for the primary sponsoring organization certifies compliance with these requirements by signing and submitting the Certification Signature Sheet.

The new debarment and suspension provisions are an extension of a long-standing procedure whereby federal agencies have excluded some individuals and organizations from their funding programs because of such things as violations of various labor standards, conviction of or civil judgment for fraud, embezzlement, theft, violation of antitrust laws, or other practices demonstrating a lack of business integrity, willful failure to perform in accordance with the terms of a government contract, or other serious cause.

A single set of regulations has been adopted by all federal agencies, which basically establishes that an organization which has been debarred or suspended (two procedures which result in exclusion from federal funding) by one federal agency may not receive federal funds either directly from any other federal agency or indirectly through organizations such as OH, which use federal funds in their granting activities. The new provisions require that applicants to OH provide certification of eligibility to receive federal funds.

CERTIFICATIONS

1. Certification Regarding the Nondiscrimination Statutes: The applicant certifies that it will comply with the following nondiscrimination statutes and the implementing regulations:
 - (a) Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq.), which provides that no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant received federal assistance;
 - (b) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the basis of handicap in programs and activities receiving federal financial assistance;
 - (c) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681 et seq.), which prohibits discrimination on the basis of sex in education programs and activities receiving federal financial assistance;
 - (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. 6101 et seq.), which prohibits discrimination on the basis of age in programs and activities receiving federal financial assistance, except that actions which reasonably take age into account as a factor necessary for the normal operation or achievement of any statutory objective of the project or activity shall not violate this statute; and
 - (e) The Americans with Disabilities Act of 1990 ("ADA") (42 U.S.C. 12101-12213) prohibits discrimination on the basis of disability in employment (Title I), state and local government services (Title II), places of public accommodation and commercial facilities (Title III).
2. Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion B Lower Tier Covered Transactions (45 CFR 1169)
 - (a) The prospective lower tier participant (the applicant) certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency; and
 - (b) Where the prospective lower tier participant (the applicant) is unable to certify to any of the statements in the certification, such prospective participants shall attach an explanation to the proposal.